

## THE FUNDING FORMULA FOR 2012/13

## 1. AGE WEIGHTED PUPIL UNITS (AWPU)

**This factor allocates the major part of the funding in the formula.**

Each FTE pupil recorded on the January census return preceding the financial year is weighted according to age as per the table below. The weighting reflects the cost of the differing curriculum needs of the pupils within each age range. The weighted pupil numbers are then multiplied by a unit of resource to give the cash allocations per pupil. The unit of resource used in the calculations for 2012/13 is **£2,117.98p**.

Age Range	Cash Allocations Per Pupil (£)	
	Weightings	2012/13
Reception	1.435	3,038.56
5 - 7	1.262	2,673.77
8	1.152	2,439.71
9-10	1.213	2,568.33
11 - 13	1.659	3,514.04
14 - 15	1.831	3,878.45
16+ Re-takes	1.831	4,235.96

## 2. RESOURCES FOR CHILDREN WITH STATEMENTS OF SEN

All maintained primary and secondary schools - Community, Foundation and Voluntary - will be allocated resources for children in their schools with statements of special educational need. The resources will be allocated in accordance with the requirements of the statement with cash values allocated as set out below.

	2012/13 £
0.1 Teacher	4,833
0.1A (Specialist) Teacher *	5,638
0.1 Welfare/Learning Support Assistant	2,063
Other allocations	As necessary

\* Provided where a statement determines that a pupil has an additional need requiring the support of a specially qualified teacher.

Funding will be as above, proportional to the staffing support required by the statement. This formula factor will not apply to pupils with statements of SEN in special units in mainstream schools as funding in respect of these pupils is allocated through the separate place weighting factor for these units (except where the support required for the pupil is over and above that reflected in the place weighting).

As this factor represents an allocation of resources based on pupils it will count as part of the pupil driven element of the formula.

All pupils with statements in mainstream primary and secondary schools at the January census prior to the year in question will attract an allocation of resources to the school based on the application of the above to their statements. Pupils in the top year of the junior (or infants in a non JMI school) or the fifth year or upper sixth in a secondary school will attract 5/12 staffing funding and 100% non-recurrent funding. All other pupils will attract a full year's funding.

In-year changes will be funded from the central contingency retained for this purpose, and paid the next term. This will be used to fund new statements after the

PLASC count date and deal with pupil transfers between infant and junior schools, junior to secondary and fifth to sixth form. September transfers will attract 7/12 staffing funding and 100% non-recurrent funding.

For new statements/IPsAs after January PLASC count funding will only be issued where the support levels required exceed the equivalent of 0.5 Teaching Assistant or £10,377 at 2011 prices.

Where a pupil moves school other than as dealt with above, the recurrent funding will move with the pupil. The school from which the pupil moved will lose the recurrent funding pro-rata from the date of removal of the pupil from the register and the receiving school will attract the resources from that date. **This and excluded pupils are the only two areas where school Budget Shares can be reduced in year.** Any non-recurrent funding will not be taken from the former school but would be paid to the receiving school.

Allocations for Statements with a value below a threshold of £5,000 will not be made by this formula factor. Funding in respect of such provision is included as part of the Attainment factor.

**Special schools receive funding by this factor.**

### 3. SPECIAL UNITS ATTACHED TO MAINSTREAM SCHOOLS

Special units attached to mainstream schools and to special schools are funded on the basis of unit place weightings as follows:-

School	Places	Funding per Place £
<b>Primary:</b>		
Kensal Rise	10	11,505
Kingsbury Green	10	18,699
Oakington Manor	20	11,505
Alperton	4.7	18,852
<b>Secondary:</b>		
Kingsbury High	4	18,699
Preston Manor S&L	12	15,154
Preston Manor ASD	6.75	24,135
<b>Nursery:</b>		
Granville Plus	6.6	19,583
Fawood	10	18,852

Additionally it has been necessary to create units attached to mainstream schools to provide English as an Additional Language (EAL) support to pupils newly arrived in the UK. Many pupils have little or no English when they arrive. Pupils also study Maths, English, and Citizenship. Students participate in games and sport, and cultural activities including Drama. The funding for Preston Manor only shows here up until the end of August at which time the funding arrangements will likely change although the unit will stay open and a different level of funding will be in operation.

These units are funded on a lump sum basis:

School	2012/13 £
Claremont High	167,772
Queens Park	167,772

#### 4. **ATTAINMENT**

This factor allocates funding on the basis of measures of prior attainment. It includes funding for Special Educational Needs that fall below the £5,000 Statementing threshold (see below).

##### **Primary**

###### **KS1**

The number of KS1 pupils registered for FSM – 75 % of KS1 funding control total  
The percentage of KS1 pupils registered for FSM – 25% of KS1 funding control total

The unit values are as follows:

<b>Unit</b>	<b>2011/12</b>
	<b>£</b>
Per FSM Pupil	626.87
Per % Roll FSM	344.23

###### **KS2**

The number of pupils achieving less than Level 2B in KS1 SATs in Reading, Writing and Maths – 75 % of KS1 funding control total

The percentage of pupils achieving less than Level 2B in KS1 SATs in Reading, Writing and Maths – 25 % of KS1 funding control total

The following weightings apply:

Working towards Level 1 - weight as 2

Level 1 - weight as 1.5

Level 2C - weight as 1

Disapplied - weight as 2

Absent - weight as 1.2

The unit values are as follows:

<b>Unit</b>	<b>2011/12</b>
	<b>£</b>
Per FSM Pupil	310.97
Per % Roll FSM	522.75

##### **Secondary KS3 and KS4**

50% of the secondary control total is based on the number of pupils achieving less than Level 4 KS2 tests for English, Maths and Science weighted as follows:

Level 2 and below – weight as 1.5

Level 3 – weight as 1

Disapplied – weight as 2

Absent weight as 1.2

50% of the secondary control total is based on the number of pupils achieving an average score of 90% or less in Cognitive Ability Tests where taken.

The unit values are as follows:

<b>Unit</b>	<b>2011/12</b>
	<b>£</b>
SAT Score	562.23
< 90 CAT Score	1,316.92

## 5. SOCIAL DEPRIVATION

Each mainstream pupil is given an IMD score based on the Super Output Area in which the pupil lives. The individual pupil scores are summed to produce a school score and the total funds available for this factor are allocated pro rata to each school's score.

	<b>2012/13</b>
With/Without Nurseries	£5.70/£5.34

## 6. UNDER-ACHIEVING GROUPS

This mainstream school factor recognises the fact that certain groups of pupils, which may change over time, require additional targeted support to address the level of underachievement. The Development Plan currently identifies Black Caribbean and Somali boys and Children Looked After as being those of concern.

Funding is allocated as an amount per designated pupil for each of these under-achieving groups:

<b>Group</b>	<b>2012/13</b>
	<b>£</b>
Black Caribbean and Somali Boys	318.00
Children Looked After	525.00

## 7. NNDR

National Non Domestic Rates (NNDR) is resourced at actual cost.

For secondary schools the allocations are reduced by an adjustment in respect of the funding assumed to be included as part of the sixth form LSC allocations. The adjustment is calculated as the budget allocation (before adjustment) multiplied by the number of 6<sup>th</sup> form pupils divided by the total number of pupils.

## 8. PREMISES

The premises factor is allocated wholly on the basis of floor area (square metres). The floor area of free standing dining rooms is weighted by a factor of 2 to allow for the additional energy costs. The data is provided by the schools each year along with evidence for any changes requested.

For secondary schools the allocations are reduced by an adjustment in respect of the funding assumed to be included as part of the sixth form LSC allocations. This adjustment is calculated as the gross floor area x (pre 16 pupil numbers / Total pupil numbers).

The allocation per sq metre of adjusted floor area is £19.53

## 9. SPLIT SITE

This factor reflects the additional staffing requirements of a split site school. A school is deemed to be on a split site if the sites meet the following criteria:

- (1) They are more than half a mile apart separated by public roads.
- (2) It is necessary for staff to move between the sites in order to teach on both sites in support of the principle of a whole school policy and to maintain the integrity of the delivering of the National Curriculum.
- (3) Each site is occupied by a large proportion of the school (more than one year group).

The allocation is based on a combination of a lump sum (although Schools Forum may choose a different amount to that shown below in unusual circumstances) and a per pupil allocation:

	<b>2011/12</b>
	<b>£</b>
Lump Sum	151,847
Per Pupil	113.70

## 10. LUMP SUM

Schools will be allocated a lump sum to reflect the need to provide a basic non-curriculum staffing support for every school (head, non-teaching deputy time, secretary/bursar, welfare officer and site supervisor)

	<b>2011/12</b>
	<b>£</b>
Primary	204,600
Secondary	260,030
Special	165,877
+ 2 swimming pools	15,729

## 11. MERGING SCHOOLS

A lump sum of £105,000 will be paid to merging schools for two years – in the year of the merger and the following year – to cover the one-off set-up costs of the merger.

## 12. SCHOOL MEALS

Schools receive an allocation based on the number of pupils entitled to free school meals registered with the LA by the end of February preceding the financial year. This allocation relates to the free meals supplied under their school meals contract. The cost of paid meals should be covered by the price of the meal. The yearly allocation is £347.39 per eligible child.

## 13. PROTECTION AGAINST YEAR ON YEAR BUDGET LOSS

That no school's Budget Share (excluding stated SEN allocations, Special Units and NNDR) should reduce by more than 1.5% year on year in cash terms.

## 14. SIXTH FORMS

Schools with sixth forms will receive funding in accordance with the Young People's Learning Agency's (YPLA) funding formula.

## 15. REDEPLOYED STAFF PROTECTION

Schools will receive funding for four years equivalent to the difference in salary between a redeployed member of staff's safeguarded salary point and the salary point of the substantive post that he or she is filling. The four-year period will commence from the beginning of the financial year following his/her transfer to the new school. (It should be noted that under the Pay and Conditions Act redeployed teachers have their salary protected whilst they are in the employ of the authority, but if they accept a new substantive post in the authority this protection ceases).

NOTE: This protection also applied to APT & C staff insofar as grading is concerned.

## 16. THRESHOLD AND PERFORMANCE PAY

Since 2010/11 the Threshold has been allocated totally based upon pupil numbers as agreed by Schools Forum. This applies to Primary and Secondary schools

Sixth form pupils are weighted as 2 for the purpose of this formula factor.

Special schools are funded wholly on the basis of the staff numbers.

## 17. MINIMUM FUNDING GUARANTEE (MFG)

The Minimum Funding Guarantee ensures that schools with no change in pupil numbers will receive at least 98.5% in their Adjusted Budget Share relative to the previous year. The Adjusted Budget Share is the Budget Share less SEN Statements, NNDR and 6<sup>th</sup> Form funding from YPLA. Schools with rising or falling rolls receive protection in respect of the change in pupil numbers at the average funding per pupil in the previous year multiplied by 80% for Primary and Nursery schools and 87.5% for secondary schools.

## 18. MAINSTREAMED GRANTS

From 2012/13 Standards Fund grants ceased to exist. However some of these were still allocated as previously except for the following which were merged with existing factors:

Grant	Now Under Factor
School Lunch Grant	School Specific Factor
Ext Schools – Sustainability	AWPU
Ext Schools Subsidy	School Specific Factor
Primary Strategy	SEN - Attainment
Secondary Strategy	SEN - Attainment
London Pay Award	AWPU

## 19. PUPIL PREMIUM GRANT

This is a new grant in 2011/12 and is allocated to schools with pupils who have been Looked After Children for at least 6 months consecutively in the 2009/10 year. Where the Brent pupils attend Brent schools the money is allocated within the formula. Pupils who live within the boundaries of other Authorities should receive funding directly from them. The 3 elements showing the unit allocations per child are as follows:

	£
Looked After Children (based on form SSDA903)	430
FSM	430
Service Children	200

## 20. EARLY YEARS FUNDING

The Early Years Single Funding Formula removed the Quality and Flexibility supplements for 2012/13 in order to simplify the formula. It is now made up of the two statutory elements namely:

- Basic Hourly Rate
- Deprivation

The value of the removed supplements has been added to the deprivation supplement funding 'pot' increasing it to £1.85m.

### **Annual Funded Hours**

The budget is set using the actual hours of take up from 2011/12 financial year. Adjustments will be made as part of the following years budget allocations to reflect changes in the hours of take up during the current financial year based on the termly returns to the LA.

### **Basic Hourly Rate**

Following a local benchmarking exercise the Basic Hourly Rate was increased by 5% to £3.41 and all schools and PVI's receive the same hourly rate of funding for 2012/13. This is multiplied by the funded hours to get the budget share for this element.

### **Deprivation**

The Deprivation Supplement is a statutory requirement under the 2009 Act. Following the ending of the Quality and Flexibility supplements their value has been transferred to the Deprivation Supplement This increases the funding from £1.25m to £1.85m and funding is distributed using the postcode of nursery pupils in the January 2012 census and their relative IMD scores. The aggregate of the IMD scores for each school attracts a share of the £1.85m.

### **Nursery School Lump Sum**

Retention of lump sum funding as EYSFF is the primary source of funding for nursery schools.

### **Loss or Gain Restriction of 50%**

This is to restrict the gain or loss to 50%. It calculates 50% restriction by deducting 2010-11 EYSFF funding from 2011-12 EYSFF funding. 50% of this difference is added if a gain or deducted if a loss from the 2010/11 budget share.

### **Minimum Funding Guarantee £3.52**

The minimum funding guarantee uses £3.52 x the 2011/12 projected hours of take up to set a 'floor' for the early years budget share. The £3.52 is the 2010/11 Nursery Education Grant hourly rate paid to PVI's for the free entitlement.

## **FUNDING ISSUES OUTSIDE THE FORMULA**

### **LONG TERM SICKNESS INSURANCE**

The LEA runs a long-term sickness insurance fund. If a school wishes, it can pay the LEA an 'insurance premium' towards long term sickness, which will operate in the following way:

Long-term cover for full time and part time (excluding SMSAs) staff absences (more than 15 consecutive working days) would, on payment of the insurance premium mentioned above, be met by the LEA. This does **not** cover vacancies. Where a Head Teacher has long-term sickness the Borough will meet the difference between

the Deputy's acting up salary and his/her substantive salary in addition to one supply teacher paid on the standard scale. Where the staff that are off sick go onto half pay (or no pay) the cover provided by the LEA will be reduced proportionately.

## **DEVOLVED RESPONSIBILITIES**

The resources allocated by the formula are to cover all aspects of the day to day running of the school (and associated buildings, e.g. caretaker's house and canteens) and include the following areas.

- (1) All staffing at the school except those areas covered by the central supply cover (e.g. maternity and Trade Union cover) (see below).
- (2) All minor and major repair, maintenance and construction work relating to all school buildings (including caretaker's houses and canteens) except where the Authority has agreed to include the work in its capital programme.
- (3) All running costs of all the premises including energy (heating, lighting, etc.) water, rates and insurance.
- (4) All costs relating to the delivery of curriculum related activities and the administration of the school.

## **OTHER SCHOOL FUNDING**

### **(a) Contingencies**

- (1) Payment will be made to schools for in-year increases in roll following collection of the Autumn Term Census data. Payment will be based on 7/12ths of the pupil-weighted value for that age group in the main formula and excludes 6<sup>th</sup> form pupils. In order to trigger funding, the increase in pupil numbers in any one age group must exceed the following trigger number:

Infants	9 pupils
Juniors	10 pupils
Secondary	10 pupils

Funding will be allocated when pupil numbers rise above the trigger point in a year group, but only if the total number of pupils in the school has increased by more than the number of pupils claimed through the trigger mechanism.

The overall size of the contingency is capped at £629,952 (2011/12). If the demand on the contingency exceeds this figure, each school's allocation will be scaled down pro rata to keep within this total.

- (2) New nursery classes approved by the Council will receive funding for their additional pupils from the date of opening the new class.
- (3) Schools receiving pupils transferring from closing schools will be funded in full from the date of closure, except for Upper Junior and new Nursery classes. A closing school is defined for the purposes of this scheme as one in respect of which the Secretary of State has received a formal submission for closure.



- (4) Errors in Budget Share calculation which do not result from incorrect data produced by the school will be funded from the Contingency.
- (5) Payment will be made to fund increases in whole forms of entry in-year as a result of the strategic planning decision to increase school places. The allocation will be based on the pupil driven funding pro rata to the increase in pupils for the appropriate proportion of the year. This will occur only rarely, and will only benefit a school where extra classes are created due to a basic needs case.
- (6) Payment will be made to cover the net cost to a school of any changes in stated pupils (number or value) in-year over and above the original allocation included in the Section 251 (Estimate) Statement.
- (7) Where a Looked After Child is admitted to a secondary school outside the normal admissions period, the school will receive funding based on the Age Weighted Pupil Unit (AWPU) value for that age of pupil from the date of admission. If the pupil were to leave the school between the admission date and the following 31<sup>st</sup> March, the funding would be proportionately reduced. In the following financial year, the school would receive funding for the pupil through the main AWPU formula factor in the normal way via the January PLASC count. A contingency of £25,000 has been provided for this.

(b) **Excluded Pupils**

Where, during the course of a financial year, a pupil is permanently excluded from a school covered by the scheme, that school's Budget Share for that year will be reduced by an amount required by Regulations made by the Secretary of State under section 494 of the Education Act 1996 and section 47 of the School Standards and Framework Act 1998.

(c) **Supply Cover**

- (i) Schools receive an allocation in respect of maternity cover for all staff. A cash allocation is made per person on maternity leave based on the condition of service under which the staff were appointed. Payment is made for part-time permanent staff pro rata to hours worked (Excluding SMSAs)

Staff on old conditions of service (Pre 1992 appointment)

	<b>2011/12</b>
	<b>£</b>
Teachers	13,345
Non Teachers	9,085

Staff on new conditions of service (1992 appointments and after)

	<b>2011/12</b>
	<b>£</b>
Teachers	4,206
Non Teachers	3,179

(NOTE: Where staff have signed 'Option C' (they will not return to work), this is considered as a resignation and no maternity cover

payment is made. Where staff have chosen 'Option B' (they may return to work), the maternity cover allocation is made after the person has returned from maternity leave).

- (ii) Funding is allocated to provide for the release of teachers' senior association officials in accordance with current Council policy.
- (iii) Cover for civic duties, i.e. Justice of the Peace and Jury Service is funded centrally.
- (iv) Where a member of staff in a school (other than supply staff) is suspended pending criminal proceedings, the school will receive a payment to cover the cost of supply cover for that person. This is because the speed of the process rests with the courts and not the school and the cover will only apply until after the court case has been completed.

### **CARRY FORWARD BALANCES**

Schools will be entitled to carry forward the whole of any underspend of their Budget Share from one year to the next subject to such balances not exceeding 5% for secondary schools and 8% for primary and special schools. A minimum threshold of £10,000 applies where this is larger than the 5% or 8% thresholds. Where a school has balances in excess of its threshold it is required to submit by 31 May a surplus spending plan to the London Borough of Brent. Full details of the criteria that the Council will use to assess excessive balances and the appeals procedure are contained in the Scheme for Financing Schools.

Any central balances due to a school will be paid as soon as these have been finalised (normally by the end of June following the end of the financial year).

### **EXTERNAL CONTRACTS**

Payments to contractors (e.g. building cleaning, grounds maintenance and school meals) are the responsibility of the school and are to be met from the Budget Share.